

# California Declaration

As part of NIOX®'s commitment to operating ethically and in compliance with applicable laws and regulations, NIOX® declares that we have established a Comprehensive Compliance Program. To the best of our knowledge and good faith understanding of the statutory language of California Health and Safety Code §119400-119402, the NIOX® Compliance Program meets the requirements of establishing a Comprehensive Compliance Program under the statute.

NIOX® is a dedicated diagnostics and monitoring company focused on respiratory disease. Throughout our operations, we are dedicated to behaving in accordance with our strong PRIDE values of Passion, Recognition, Integrity, Drive and Effectiveness. To further our commitment to these values, NIOX® has adopted a Code of Conduct which serves as an affirmation of ethical principles that guide NIOX®'s business decisions and interactions. The Code of Conduct applies to all NIOX® employees and establishes expectations that every employee will act in accordance with applicable laws and Company policies.

As part of our Code of Conduct and as a foundation for our Comprehensive Compliance Program, NIOX® has also established written global and local healthcare policies and procedures to support adherence to applicable laws, regulations, and industry codes of conduct. NIOX® has derived its policies through adoption of the AdvaMed Code on Interactions with Health Care Professionals in the US as part of our program.

As is set out in the HHS OIG Compliance Program Guidance for healthcare organizations, the NIOX® Compliance Program includes:

- The appointment of a designated Compliance Officer and Compliance Committee
- Documented compliance policies and procedures
- Regular and effective compliance education and training
- Open lines of communication, including a toll-free number for reporting concerns
- Monitoring and auditing activities to continually evaluate and reassess compliance with company compliance policies
- Procedures for responding to identified problems and implementing corrective measures
- Enforcing standards of conduct consistently

The NIOX® Code of Conduct can be found online by clicking [here](#). Copies of this summary of the Compliance Program and this declaration may be obtained by contacting [compliance.US@circassia.com](mailto:compliance.US@circassia.com) or calling 1-866-275-6469 and asking for the Compliance Department.

In Accordance with California Health and Safety Code, NIOX® has established a specific annual dollar limit of \$2500 per healthcare professional (HCP) for meals, promotional materials, items or activities that NIOX® may give or otherwise appropriately provide to an individual HCP located in the State of California, subject to permitted exclusions under the statute.